

NEW WORK ITEM PROPOSAL		
Closing date for voting	Reference number (to be given by the Secretariat)	
Date of circulation	ISO/TC / SC N	
Secretariat		

A proposal for a new work item within the scope of an existing committee shall be submitted to the secretariat of that committee with a copy to the Central Secretariat and, in the case of a subcommittee, a copy to the secretariat of the parent technical committee. Proposals not within the scope of an existing committee shall be submitted to the secretariat of the ISO Technical Management Board.

The proposer of a new work item may be a member body of ISO, the secretariat itself, another technical committee or subcommittee, or organization in liaison, the Technical Management Board or one of the advisory groups, or the Secretary-General.

The proposal will be circulated to the P-members of the technical committee or subcommittee for voting, and to the O-members for information.

## IMPORTANT NOTE: Proposals without adequate justification risk rejection or referral to originator.

Guidelines for proposing and justifying a new work item are contained in Annex C of the ISO/IEC Directives, Part 1.

## Proposal (to be completed by the proposer)

## Title of the proposed deliverable.

(in the case of an amendment, revision or a new part of an existing document, show the reference number and current title)

English title Management System for Quality of Private Security Company

Operations - Requirements with Guidance

French title (if available)

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## Scope of the proposed deliverable.

This proposed International Standard (Standard) provides the principles and requirements for a Quality Assurance Management System (QAMS) for Private Security Service Providers including Private Security Companies (collectively "PSCs") to provide quality assurance in all security related activities and functions while demonstrating accountability to law and respect for human rights. The Standard provides auditable criteria and guidance consistent with the "Montreux Document on Pertinent International Legal Obligations and Good Practices for States related to Operations of Private Military and Security Companies during Armed Conflict" of 17 September 2008 and the "International Code of Conduct for Private Security Service Providers" (ICoC) of 9 November 2010. This Standard provides a means for PSCs, and their clients, to provide demonstrable commitment and conformance with the aims of the Montreux Document and the principles outlined in the ICoC, as well as enhance the security and protection of stakeholders.

This Standard provides a framework for establishing, implementing, operating, monitoring, reviewing, maintaining and improving the management of their products and services. It is particularly applicable for any type of land-based PSC operating in circumstances of weakened governances where the rule of law has been undermined due to human or naturally caused events.

This Standard is applicable to any PSC that needs to:

- a) Establish, implement, maintain, and improve a QAMS;
- b) Assess its conformity with its stated quality assurance management policy;
- c) Demonstrate its ability to consistently provide services that meet client needs and are in conformance with applicable international law, local laws and human rights requirements;
- d) Demonstrate conformity with this Standard by:
  - I. Making a self-determination and self-declaration;
  - II. Seeking confirmation of its conformance by parties having an interest in the organization (such as clients);
  - III. Seeking confirmation of its self-declaration by a party external to the organization; or
    - IV. Seeking certification/registration of its QAMS by an independent and accredited external organization.

The generic principles and requirements of this *Standard* are intended to be incorporated into any organization's management system based on the PDCA model; it is not intended to promote a uniform approach to all organizations in all sectors. The design and implementation of quality assurance plans, procedures and practices should take into account the particular requirements of each organization: its objectives, context, culture, structure, resources, operations, processes, products and services.

Note: The International Code of Conduct for Private Security Service Providers" (ICoC) (Section One) defines Private Security Companies and Private Security Service Providers as "any Company (as defined in this Code) whose business activities include the provision of security services either on its own behalf or on behalf of another, irrespective of how such Company describes itself". In this Standard, a distinction is made between Private Military Companies (PMCs) which work in military and conflict settings to offer direct tactical military assistance and land-based Private Security Companies (PSCs). This Standard ONLY applies to PSCs and DOES NOT apply to PMCs.

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If a draft is attached to this proposal,:		
<b>Ple</b> ase select from one of the following options (note that if no option is selected, the default will be the first option):		
<ul> <li>□ Draft document will be registered as new project in the committee's work programme (stage 20.00)</li> <li>□ Draft document can be registered as a Working Draft (WD – stage 20.20)</li> <li>□ Draft document can be registered as a Committee Draft (CD – stage 30.00)</li> <li>□ Draft document can be registered as a Draft International Standard (DIS – stage 40.00)</li> </ul>		
Is this a Management Systems Standard (MSS)?		
Indication(s) of the preferred type or types of deliverable(s) to be produced under the proposal.		
☑ International Standard ☐ Technical Specification ☐ Publicly Available Specification ☐ Technical Report		
Proposed development track ⊠ 1 (24 months) □ 2 (36 months - default) □ 3 (48 months)		
Known patented items (see ISO/IEC Directives, Part 1 for important guidance)		
☐ Yes ☐ No If "Yes", provide full information as annex		
A statement from the proposer as to how the proposed work may relate to or impact on existing work, especially existing ISO and IEC deliverables. The proposer should explain how the work differs from apparently similar work, or explain how duplication and conflict will be minimized.		
There is no potential overlap or conflict with other existing or planned ISO or non-ISO international standards, or those at the national or regional level, as there are no initiatives on any of these levels to develop a MSS to address private security service providers engaged in land-based operations. The work is proposed to be conducted by a Project Committee given that ISO currently does not have any technical committees that address issues related to the provision of private security services, particularly armed security services. Nor do any current ISO technical committees contain the breadth of expertise from client, service provider and civil society communities necessary to successfully develop this Standard.		

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A listing of relevant existing documents at the international, regional and national levels.

The relevant reference materials are:

- 1) Montreux Document On Pertinent International Legal Obligations and Good Practices for States Related to Operations of Private Military and Security Companies During Armed Conflict (09/2008);
- 2) International Code of Conduct for Private Security Service Providers (ICoC) (11/2010);
- 3) ANSI/ASIS.PSC.1:2012 Management System for Quality of Private Security Company Operations Requirements with Guidance;
- 4) ANSI/ASIS.PSC.2:2012 Conformity Assessment and Auditing Management Systems for Quality of Private Security Company Operations;
- 5) ISO 31000:2009, Risk management Principles and guidelines.

Relevant documents relating to United Nations and International Human Rights publications are extensively referenced in the bibliography of ANSI/ASIS.PSC.1:2012.

No other national or international standards have been developed to address the issues addressed in this proposed standard.

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A simple and concise statement identifying and describing relevant affected stakeholder categories (including small and medium sized enterprises) and how they will each benefit from or be impacted by the proposed deliverable(s)

Given the sensitive nature of the subject, it was important to assure balance of the stakeholders; therefore, the technical committee needs to be evenly balanced with equal representation of:

- 1/3 Clients and users of security services (governmental and non-governmental including NGOs, development and relief organizations, mining and extraction companies, businesses, etc.)
- 1/3 Providers of security services (large, medium and small enterprises)
- 1/3 Civil society, international humanitarian law and human rights organizations (including NGOs, international lawyers, academics, rights advocates, community groups, etc.)
- A large pool of technical experts who are direct representatives of the affected parties from the different geographical regions have been identified and contributed to the efforts to develop the ANSI/ASIS PSC series of standards. These stakeholders would likewise be identified for the ISO process.

The sanctity of human life is the paramount underlying principle of this *Standard*. PSCs, and their clients, have an obligation to protect the lives and safety of both internal and external stakeholders (including the community at large). By using this *Standard*, PSCs can better understand the risks they face and preemptively develop strategies that will:

- a) Manage risk posed to the lives and property of those whom they are contractually obligated to protect;
- b) Support the objectives of the Montreux Document on Pertinent International Legal Obligations and Good Practices for States related to Operations of Private Military and Security Companies during Armed Conflict and the International Code of Conduct for Private Security Service Providers (ICoC);
- c) Demonstrate commitment, conformance, and accountability to respect human rights, laws, and fundamental freedoms;
- d) Reduce risk and support the business and operational mission; and
- e) Successfully manage an undesirable or disruptive event by developing a strategy and action plans to safeguard its interest and those of its clients and other stakeholders.

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Liaisons:	Joint/parallel work:	
A listing of relevant external international organizations	Possible joint/parallel work with:	
or internal parties (other ISO and/or IEC committees) to be engaged as liaisons in the development of the deliverable(s).	☐ IEC (please specify committee ID)	
Amnesty International	☐ CEN (please specify committee ID)	
ASIS International	☑ Other (please specify) This proposal	
<ul> <li>Geneva Academy of International Humanitarian Law and Human Rights</li> </ul>	relates to the adoption by ISO of an existing standard at the DIS level within the framework of a Project	
Geneva Centre for the Democratic Control of Armed Forces (DCAF)	Committee.	
<ul> <li>International Committee of the Red Cross (ICRC)</li> </ul>		
• International Institute for Humanitarian Law (IIHL)		
<ul> <li>International Stability Operations</li> <li>Association (ISOA)</li> </ul>		
• Pan African Security Association (PASA)		
Security Association for the Maritime Industry (SAMI)		
<ul> <li>Security in Complex Environments</li> <li>Group (UK industry association)</li> </ul>		
The Fund for Peace		
• United Nations		
• ISO/TC 8 Ships and Maritime Technology		
• ISO/TC 176 Quality Management		
• ISO/TC 262 Risk Management		
A listing of relevant countries which are not already P-men	nbers of the committee.	
It is proposed the work be conducted by a committee that developed the ANSI/ASIS.PSC experts from 24 countries. There are 42 Si Document and 464 Signatory Companies from approached to participate in the Project Companies.	C.1:2012 was comprised of more than 200 equatory Countries to the <i>Montreux</i> 60 countries to the <i>ICoC</i> . These would be	
Preparatory work (at a minimum an outline should be included	d with the proposal)	
☐ A draft is attached ☐ An outline is attached	☑ An existing document to serve as initial basis	
The proposer or the proposer's organization is prepared to und	ertake the preparatory work required ☐ Yes ☐ No	
Proposed Project Leader (name and e-mail address) Dr. Marc Siegel <siegel@msiegel.net></siegel@msiegel.net>	Name of the Proposer (include contact information) ANSI	

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Supplementary information relating to the proposal			
	This proposal relates to a new ISO document;		
	This proposal relates to the amendment of existing ISO document		
	This proposal is for the revision of an existing ISO document;		
☐ This proposal relates to the adoption as an active project of an item currently registered as a Preliminary Work Item;			
☐ This proposal relates to the re-establishment of a cancelled project as an active project.			
Other: This proposal relates to the adoption by ISO of an existing standard within the framework of a PSDO agreement			
Annex(es) are included with this proposal (give details)  ANSI/ASIS.PSC.1:2012 Management System for Quality of Private Security Company Operations - Requirements with Guidance			
Date o	f submission		

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