Process for Regulatory Cooperation

Construction and Mining Machine Industry Example

Dan Roley
Chair ISO/TC 127
2015-06-02
Outline of Presentation

- Summary of Projects for Regulatory Cooperation
  - Global Industry Cooperation
  - UNECE WP 6 Model Regulation Project
  - Global Best Practices Training

- Summary of Elements for Good Regulatory Cooperation in Regulations
Global Industry Cooperation

- Created Global Industry Cooperation
  - Participation by USA, EU, Japan, China, South Korea, India, Brazil and Australia
  - Meet Annually to Review Regulatory Challenges

- Objective
  - Promote Global Harmonization of Regulations
  - Inform manufacturers of National Regulations
UNECE WP 6 Model Regulation Project

- Started Project in 2003 for Construction and Mining Machines
  - Encourage Adoption of ISO Standards
  - Promote Harmonization of Regulations by Using The Technical Requirements in ISO
  - Support Self Declaration of Conformity (SDoC)

- Updated to Allow Third Party Certification When National Manufacturers Do Not Have:
  - Expertise to Do Self Declaration of Conformity
  - Capability to Do Conformity Assessment Testing
Created an International Team To Provide Best Practices Training

- Participation and Adoption of ISO Standards
- Allow Regulations To Reference Or Use The National or Regional Standards As The Technical Requirements For Safety
  - Adopt The ISO/TC 127 Standards As National Or Regional Standards (ISO 20474)
  - Allow Some Of The ISO Requirements in ISO 20474 To Be Voluntary In The Short Term for Developing Countries

- Best Practices for Conformity Assessment
Summary of Elements of Good Regulatory Cooperation in Regulations

- Create General Regulations that Reference the Technical Requirements in ISO Standards
- Allow Self Declaration of Conformity
- When Third Party Certification is Needed
  - Use the Conformity Assessment Testing by Manufacturers and Do Not Repeat Testing
  - Allow Single Global Certification by Accepting Certification Already Completed