Process for Regulatory Cooperation

Construction and Mining Machine Industry Example

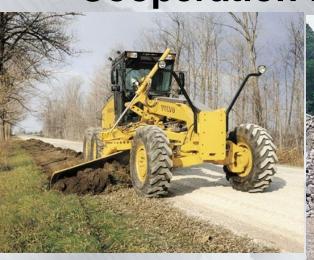
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2015-06-02

Outline of Presentation

- Summary of Projects for Regulatory Cooperation
 - Global Industry Cooperation
 - UNECE WP 6 Model Regulation Project
 - Global Best Practices Training

Summary of Elements for Good Regulatory

Cooperation in Regulations







Global Industry Cooperation

- Created Global Industry Cooperation
 - Participation by USA, EU, Japan, China, South Korea, India, Brazil and Australia
 - Meet Annually to Review Regulatory Challenges
- Objective
 - Promote Global Harmonization of Regulations
 - Inform manufacturers of National Regulations

UNECE WP 6 Model Regulation Project

- Started Project in 2003 for Construction and Mining Machines
 - Encourage Adoption of ISO Standards
 - Promote Harmonization of Regulations by Using The Technical Requirements in ISO
 - Support Self Declaration of Conformity (SDoC)
- Updated to Allow Third Party Certification When National Manufacturers Do Not Have:
 - Expertise to Do Self Declaration of Conformity
 - Capability to Do Conformity Assessment Testing

Created an International Team To Provide Best Practices Training

- Participation and Adoption of ISO Standards
- Allow Regulations To Reference Or Use The National or Regional Standards As The Technical Requirements For Safety
 - Adopt The ISO/TC 127 Standards As National Or Regional Standards (ISO 20474)
 - Allow Some Of The ISO Requirements in ISO 20474 To Be Voluntary In The Short Term for Developing Countries
- Best Practices for Conformity Assessment

Summary of Elements of Good Regulatory Cooperation in Regulations

- Create General Regulations that Reference the Technical Requirements in ISO Standards
- Allow Self Declaration of Conformity
- When Third Party Certification is Needed
 - Use the Conformity Assessment Testing by Manufacturers and Do Not Repeat Testing
 - Allow Single Global Certification by Accepting Certification Already Completed