Scientific and Evidence Based Rulemaking

Industry Perspective

Dan Roley, Caterpillar Chair ISO/TC 127 2015-06-01

Outline of Presentation

- Introduction to the Construction and Mining Machine Industry
- Rulemaking Based on ISO Standards
- ISO/TC 127 for Earth Moving Machines
- ISO/TC 82 for Mining

Process for Supporting Rulemaking







Construction and Mining Machines

- Global Industry, Low Volume Machines
- High Technology, Versatile Machines
- National Standards Replaced by ISO
- Safety Goal Zero Injury or Harm
- Voluntary Compliance to Standards
- Minimal National or Regional Regulations





Rulemaking Based on ISO Standards

- USA OSHA for Construction Machines
 - Requirements for operator protection and seat belts using old SAE standards
 - General Duty Clause "All employees shall be provided a safe place to work."
 - Standards accepted to define "safe place to work"
- USA MSHA for Mining Machines
 - References more standards
 - Allows the use of current standards
- Europe and other Areas Use Standards for the Technical Requirements for Regulations
- Thus, Scientific and Evidence Based Rulemaking Is Dependent Upon Standards Development

ISO/TC 127 Earth Moving Machines

Machines Used in Construction and Mining for Excavating, Loading, Transporting, Spreading and Compacting Earth, Rock and Other Materials.



ISO/TC 82 Mining

Scope

- Specialised mining machines used in opencast mines
- All underground mining machines and equipment,
- Plans and drawings used in mine surveying;
- Methods of calculation of mineral reserves;
- Mine reclamation management;
- Design of structures for mining industry.



ISO for Construction and Mining Machines

- ISO/TC 82 for Mining Reactivated in 2012
- ISO/TC 127 for Earth Moving Machines Was Formed in 1968 to Develop Global Standards
- Objectives for ISO Standards
 - Provide Performance Criteria to Achieve a High Level of Safety for Machines
 - Meet the Safety Expectations of Machine Users and Health and Safety Experts
 - Prepare ISO Standards to Address All Safety Risks
 - Provide Global Requirements for Machine Manufacturers
 - Develop ISO Standards That Could Be Used As the Basis for Any National Standards and Regulations

ISO Process Participants

- Experts from Multiple Countries
- National Representatives:
 - Machine Users
 - Health and Safety Experts
 - Regulatory Experts
 - Manufacturers
 - National Standards Body Staff
 - Trade Association Staff
 - Testing Agencies

Standards Development Process

- Verify a Need for Standards
 - Address Additional Safety Risks
 - Cover New Types of Machines and Applications
 - Address Advances in Technology
- Base the Technical Requirements on
 - Machine Incident and Use Data
 - Risk Reduction Principles
 - Ergonomics of Operators and Workers
 - Data, Logic and Processes
 - Reasonable and Achievable Requirements
- Create Performance Criteria for Standards to:
 - Meet Machine Users Expectations for Safety
 - Be Acceptable to Health and Safety Organization
 - Enable Using the Standards as the Technical Requirements to Address Safety Risks in Regulations

Scientific Based Standards for Regulations

- ISO Standards Are Developed to Be Used As the Basis for National Standards
 - A High Level of Reasonable and Realistic Safety Requirements
 - Developed by Global Experts
 - Developed to Meet the Expectations of Machine Users and Health and Safety Organizations
 - Save Time and Resources by Using ISO Standards
- ISO Standards Are Developed to be Scientific and Evidence Based
- ISO Standards Can Be Used as the Technical Requirements for National Regulations to Promote Global Harmonization