SMART Manufacturing & Cyber Security: Foundational ISA/IEC 62443 Standards Evolving with Learning Machines



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Bellingham and Consequences

- Broadcast storm shutdown SCADA and Delayed Leak Detection
 - Loss of View, Loss of Control
- All sensors set to average values and safety systems didn't actuate
 - Loss of Safety
- Requires revisiting cyber security and safety standards





Last Week

- Attack on Natural Gas Network Shows Rising Cyberthreat (4/6/18)
- Pipeline Firms Hit; Gas Still Flowing (4/4/18)
- "3 of 4 companies operating pipelines admitted they were hit by a cyberattack this week"



SMART Manufacturing Cyber Security Standards Challenges

- Heterogeneous Organizations
 - Manufacturers, Suppliers, Integrators, Governments: *Domain & Size* varies widely
- Heterogeneous Instruments, Automated Control Systems
 - Engineered systems' *Protocols still compete*
- Heterogeneous Languages: even within same Natural Language! Interpretation & Communication barriers (Ambiguity & Redundancy)
- Adversaries: Actors (state & non-state); Mismatched lifespans & evolutionary time constants
- How to avoid an automated *Tower of Babel*?



Outline

- Introduction
- Problem Description
- Foundational & Ongoing IA&CS Cyber Security Standards Development
- Platform for Evolving Threats
- Conclusions & Future Work

ISA 99 Global Coverage: ISA Role, Membership & Industries

- Scope: IACS compromise
 900 members world-wide could result in: Sector expertise:
 - "endangerment of public or employee safety
 - environmental protection
 - loss of public confidence
 - violation of regulatory requirements
 - loss of proprietary or confidential information
 - economic loss
 - impact on entity, local, state, or national security"

- - Chemical Processing
 - Oil & Gas
 - Food & Beverage
 - Energy
 - Pharmaceuticals
 - Water
 - Manufacturing
 - ICS suppliers
 - → Medical ++
- **Product**:
 - ISA/IEC 62443 series of standards





The Basics

- General Concepts
- Fundamental Concepts
- Foundational Requirements



General Concepts

- Security Context
- Security Objectives
- Least Privilege
- Defense in Depth
- Threat-Risk Assessment
- Supply Chain Security



Source: ISA-62443-1-1, 2nd Edition (Under development)

Fundamental Concepts

- Principal Roles
- Life Cycles
- Zones and Conduits
- Security Levels
- Maturity Assessment
- Security and Safety

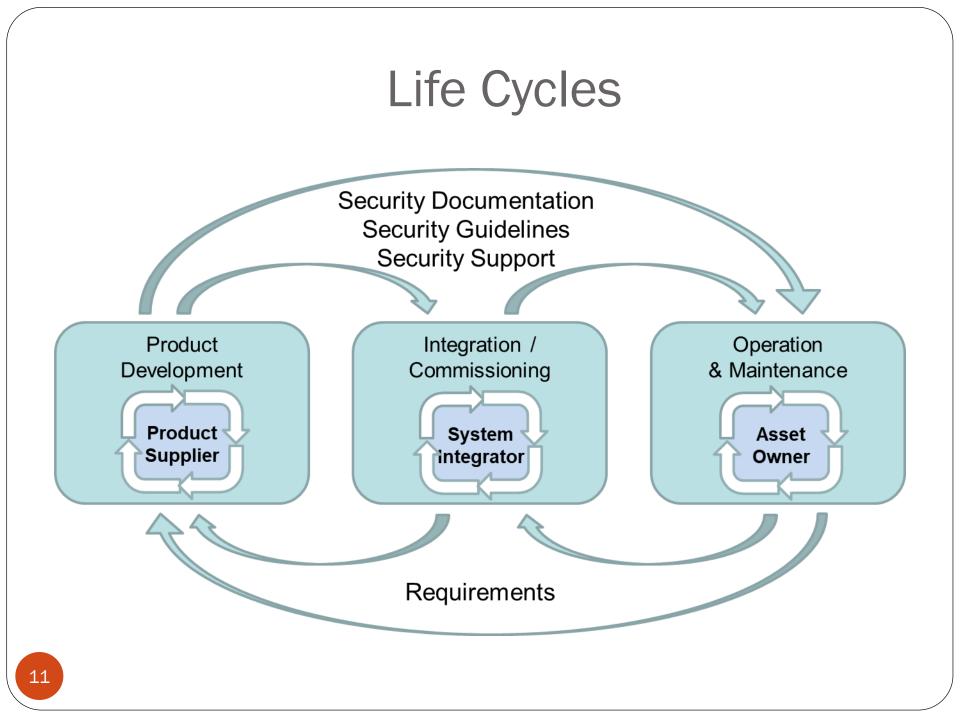


Source: ISA-62443-1-1, 2nd Edition (Under development)

Principal Roles

- Product Supplier (PS)
- Integration Provider (IP)
- Asset Owner (AO)
- Maintenance Provider (MP)
- Service Provider (SP)
- System Operator (SO)
- Regulatory Authority (RA)
- Compliance Authority (CA)

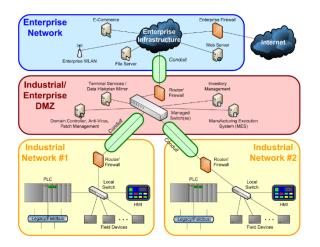




Zones & Conduits

• A means for defining...

- How different systems interact
- Where information flows between systems
- What form that information takes
- What devices communicate
- How fast/often those devices communicate
- The security differences between system components
- Technology helps, but architecture is more important



Security Levels

Protection against...

Intentional Violation Using Sophisticated Means with Extended Resources, IACS Specific Skills & High Motivation

Intentional Violation Using Sophisticated Means with Modera Resources, IACS Specific Skills & Moderate Motivation

2

Intentional Violation Using Simple Means with Low Resources, Generic Skills & Low Motivation

Casual or Coincidental Violation

Maturity Assessment

- A means of assessing capability
- Similar to Capability Maturity Models
 - e.g., SEI-CMM
- An evolving concept in the standards
 - Applicability to IACS-SMS



Security & Safety

- Safety: much of the reason for security
 - Presenting consequences
- Much learned from safety community
- Collaboration
 - ISA99-ISA84 joint effort
 - IEC TC65 work group 20
 - ISA Safety and Security Division



Foundational Requirements

- FR 1 Identification & authentication control
- FR 2 Use control
- FR 3 System integrity
- FR 4 Data confidentiality
- FR 5 Restricted data flow
- FR 6 Timely response to events
- FR 7 Resource availability



Work Products include: ISA-62443 Series

ISA-62443-1	1 ISA-TRO	62443-1-2 ISA-62443-	1-3 ISA-TR624	443-1-4 ISA-TR62443-1-5
Concepts and m		glossary of abbreviations		

res res	ISA-62443-2-1	ISA-TR62443-2-2	ISA-TR62443-2-3	ISA-62443-2-4
Policies Procedu	Requirements for an IACS security management system	Implementation guidance for an IACS security management system	Patch management in the IACS environment	Security program requirements for IACS service providers





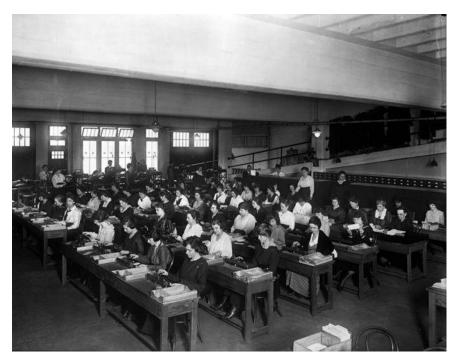


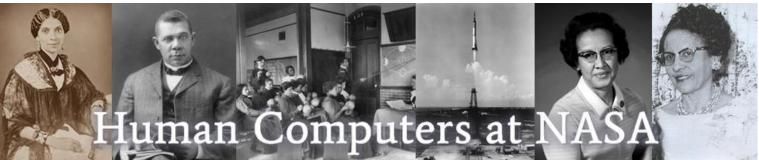
ISA 99

- 16 years' effort: ISA/IEC 62443 standards series
- Evolution of standard continues:
 - Application to IoT
 - Devices on Level 0,1
- How do we handle Evolving/Imminent Threats?
- How do we *trust* incoming request?
- How does a human sentry react to a cyber packet sent at speed of light?

Computers







Automated Computing Machines



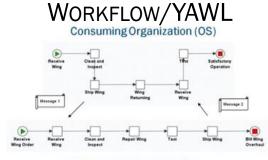
Learning Machines



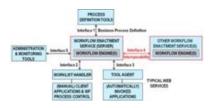
Automated Learning Machines



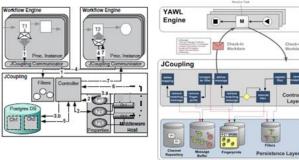
Automated Learning Machines: Helping Securely Share Remote Work



Servicing Organization (IS)

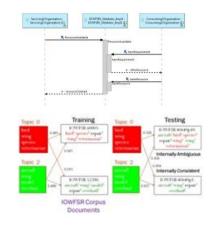


IOWF/JCOUPLING

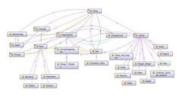


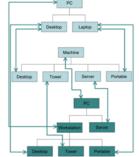




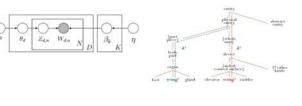


ONTOLOGY/PROTÉGÉ





TOPIC MODELING/LDA/LDAWN



Sky High Aircraft Maintenance Svc aircraft repair mod-renization + ving correlated transport	Airframe Best Components repair supply aircraft wings { + { repairs wing } bransport	IOWESR Document Vocabulary aircraft (2) repair (1) modernation (1) reserved (1) reserved (1) reserved (1)	IOWFSR Corpus	→	Topic 0 bird wing species veterinarian Topic 2 aircealt wang model overhaul		
TRUST; BUT VERIFY!							

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Virtual Common Ground

Organizations are complex. Missions are complex. Communications are complex. Through automating semantic resolution between organizations' existing systems, we endeavor to simplify the discovery of feasible partnerships. This results in providing seamless communication between organizations, much like robust software module

communication.

Learn More

Get Involved

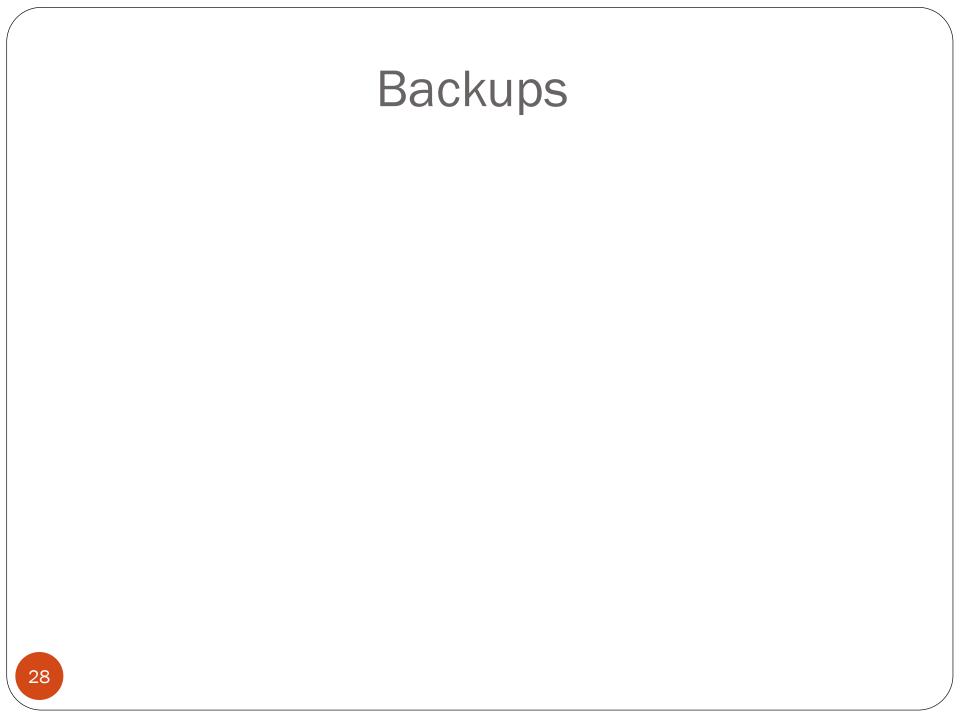
Inter-Organizational Workflow Semantic Resolution

Conclusions & Future Work

- (Automated) Learning Machines need:
 - Structure
 - Good teachers (data)!
- SMART Manufacturing needs:
 - Trustworthy partners
 - Translation assistance
 - OT rather than IT-based Cyber Standards
- We need:
 - Lunch!
 - Questions?
- Can these principles help solve your engineering, management, medical, civil, biological issues?

Acknowledgments

- ISA 99: Eric Cosman & Jim Gilsinn (Co-Chairs); Joe Weiss (Managing Director); Charley Robinson & Eliana Brazda (ISA Staff); committee & slides.
- Research advisor: Professor Shmuel Rotenstreich (b: Germany)
- Numerous global colleagues active development: Pictured
- The Catholic University of America: faculty, facilties &
- Continuing Research: Mr. Khalid Khawaji, Ms. Anh Thai, Mr. Khoi Nguyen, Ms. Cynthia Fioriti, Mr. Ibrahim Al Mubark, Mr. Abdullah Almalki, Mr. Mofaq Alotaibi, Mr. Luke Lepak, Mr. Dominic Abela, Mr. Abdulaziz Alhuthali and Mr. Andrew DeNooyer (CUA EECS Graduate & Undergraduate Students)
- Images: Numerous students, museums & libraries

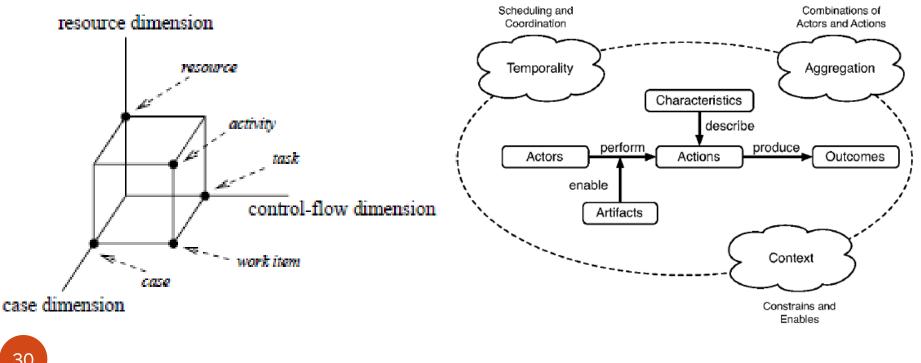


Foundational Work: Prior Work, Limitations & Applications

- Task Organization & Automation: Workflow
- Local Knowledge: Organizations & Ontologies
- Process Sharing: Inter-Organizational Workflow
- Remote Computational Comprehension

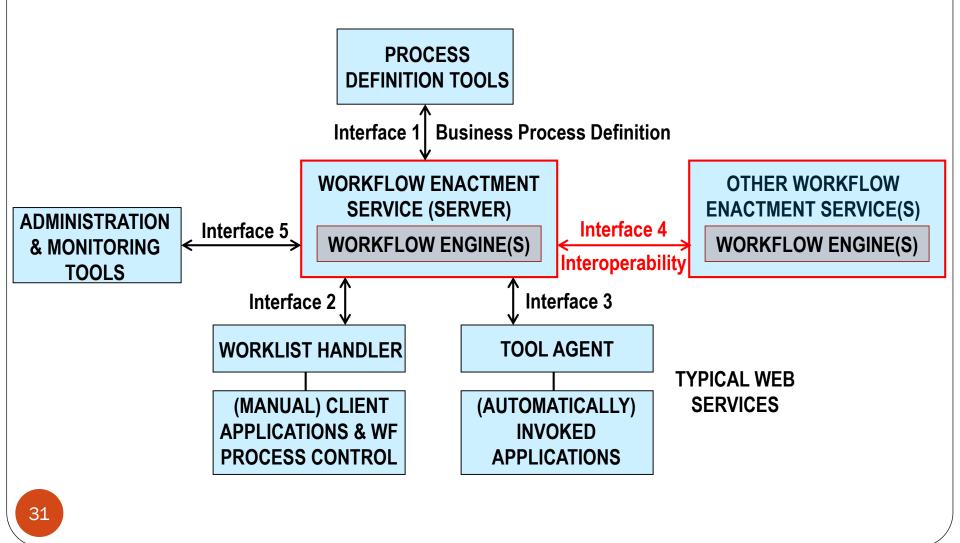
Foundational Work: Workflow

- Major work: Office Automation 1960s & 1970s
- Key principle: decouple business process function & flow logic
- Dimensions (Aalst, 2004); Mining (Wang et al. & Aalst, 2013)
- Development niches (*many*, 200X+)
- Distilled Workflow Elements Model (Unertl et al., 2010)



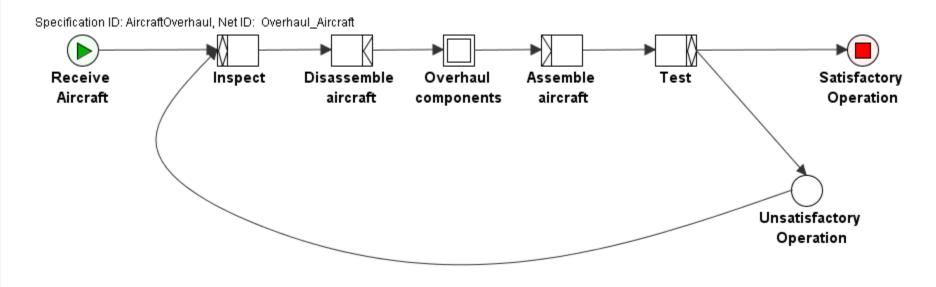
Foundational Work: Workflow

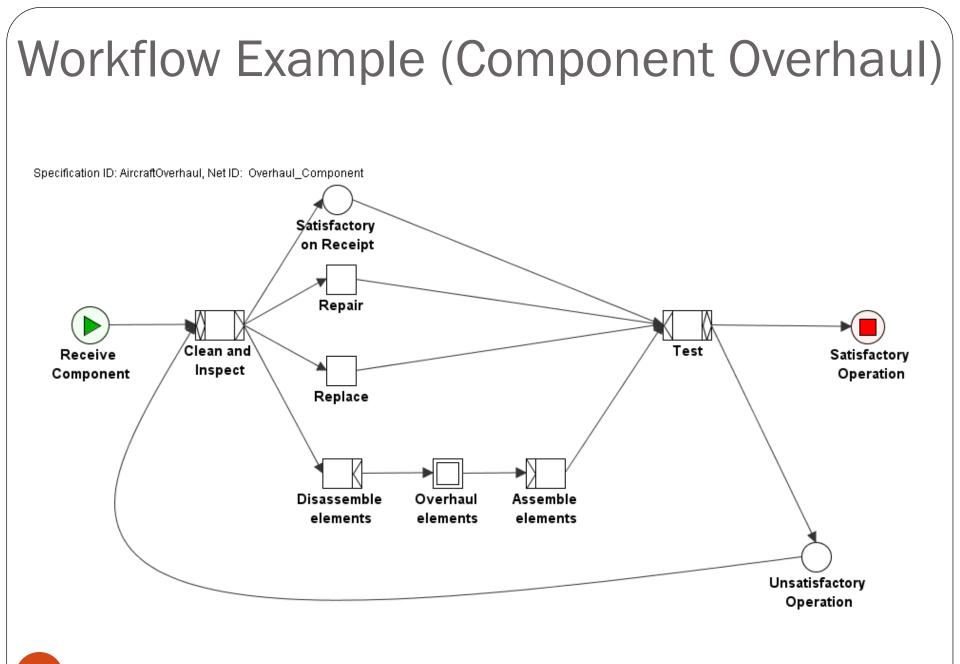
Primary interfaces (Workflow Management Coalition, 1995):

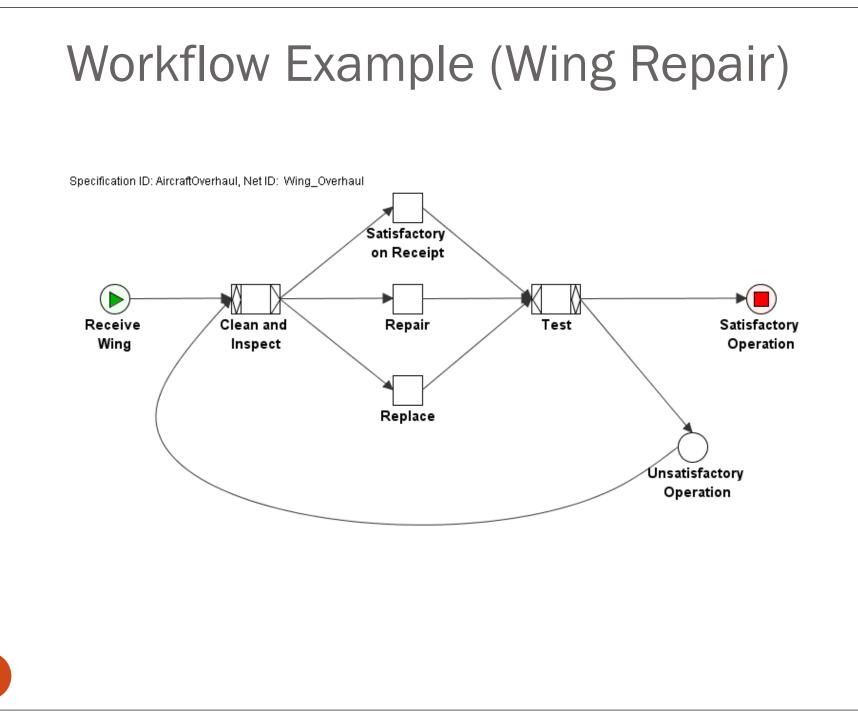


Workflow Example (Aircraft Overhaul)

 YAWL (Aalst & Hofstede, 2002; Hofstede, et al., 2010; Adams, et al., 2012): Language & WFMS
 Demonstrates workflow pattern, mathematical & Petri Net bases compliance feasible (previously under contention)







Foundational Work: Organizational Behavior

- Seminal organization process behavior research (McGrath, 1963)
- Organizational culture shapes & symbols signal deeper meaning
 - Gordon, 1999; Rafaeli & Worline, 2000; Horling & Lesser, 2005; Alvesson, 2011
- Common ground clarifies meaning; internal diversity helps ally new collaborators
 - Weber, 2000; Engeström, 2001; Carroll et al., 2008
- Team communication & cognition: social processes where contextual clarity matters
 - Perin, 1995; Bednar et al., 2007; Fiore et al., 2008; Narayanan et al., 2011
- Known by neighbors kept (Competitors, Customers, Suppliers)
- Porac et al., 1989; Hodgkinson & Healey, 2011

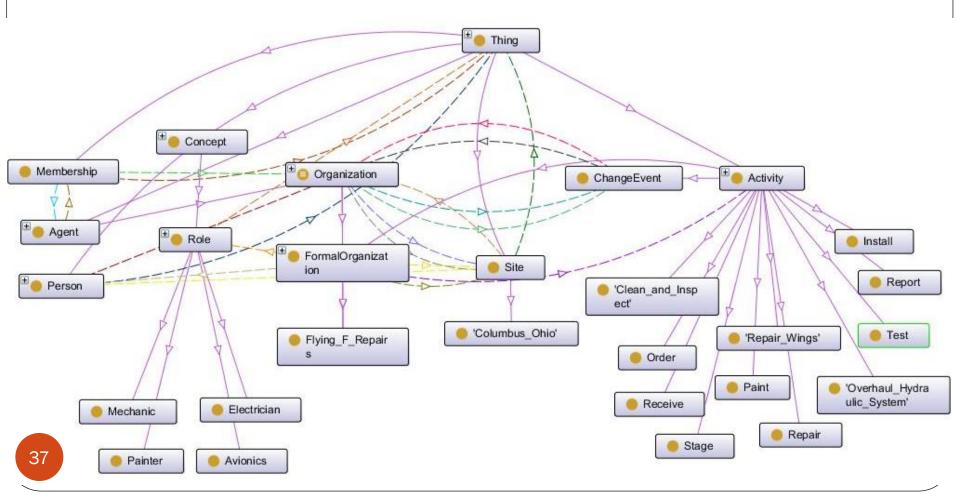
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Foundational Work: Knowledge & Ontologies

- Ontological Computer Science applications: Roles; ECA; DOLCE
 - Gruber, 1993; Smith, 1998; Wagner, 2003; Smith & Grenon, 2004; Bottazzi & Ferrario, 2005 & 2008
- Organizational Ontology: natural knowledge representation bridges internal workflow meaning gap
 - Hodgkinson & Johnson, 1994; Hepp & Roman, 2007
- Knowledge Capture: tacit/explicit; chunking & feature matching strategies
 - McManus et al., 2003; Haynes & Smith, 2008
- Semantic application: encoding methods
 - Miller, 1995; Desouza & Hensgen, 2002; Hirst, 2009

Simple Organizational Ontology Example

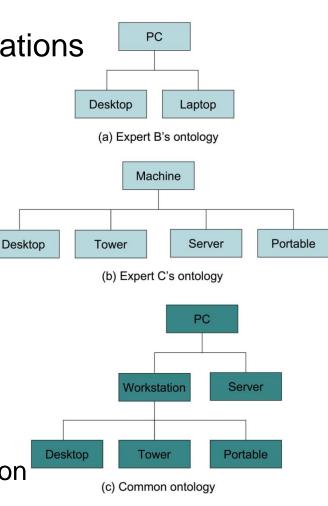
Protégé Ontology design tool (Noy et.al, 2000+)



"Simple" Ontology Alignment Example

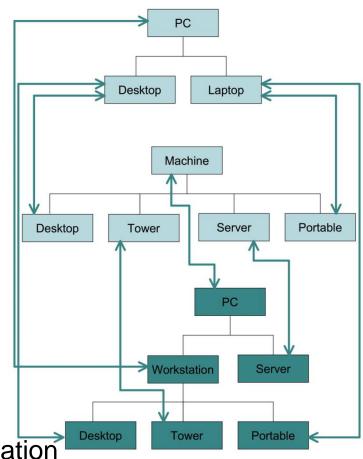
- Challenge:
 - Variation between organizations
 - Merging actual ontologies
- Ontology 1
- Ontology 2
- Aligned OntologyNegotiated

Hameed et.al, Ontology Reconciliation in *Handbook on Ontologies*, 2004



"Simple" Ontology Alignment Example

- Ontology 1
- Ontology 2
- Aligned Ontology and mappings (SUMO, Paliwal et.al, 2012)

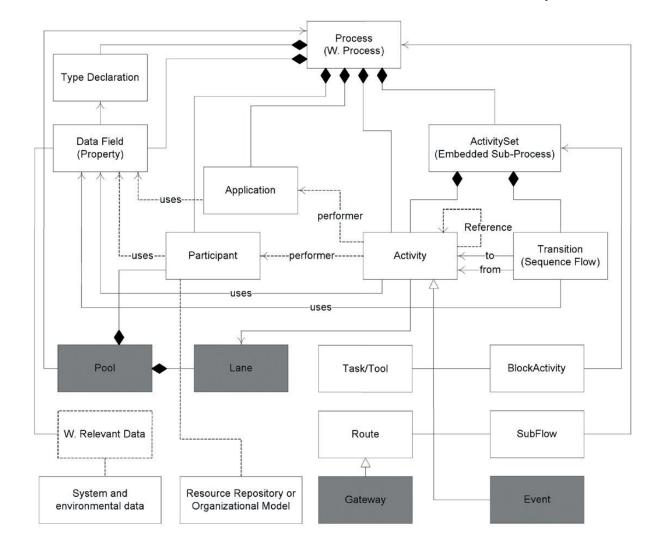


Hameed et.al, Ontology Reconciliation in *Handbook on Ontologies*, 2004

Foundational Work: Inter-Organizational Cooperation

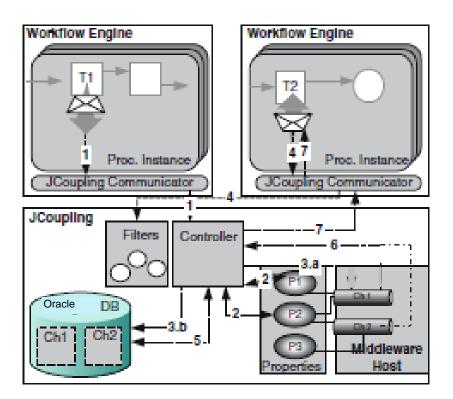
- Teaming Behavior: Cooperation, Social economic interaction, Defined tools & signs, Distributed communication leaky by nature
 - Axelrod, 1984; Schelling, 1978; Carroll et al., 2008; Engeström, 2001; Rentsch et al., 2008
- Protocols: Sequential messaging fits Inter-organizational negotiations
 - Kraus, 2001; Bertino et al., 2004; Hirst, 2002; Aalst et al., 2000 & 2002; Bruno, 2005; Aldred et al., 2005-9; Kuhr et al., 2008
- IOWF:
 - Networked virtual enterprise interoperability unfilled promise
 - Emergent complex systems require robust communication between heterogeneous partners using natural strategies observed
 - Ebers, 1997; Bradley & Nolan, 1998; Johnson, 2001; Papazoglou et al., 2000; Sheth et al., 1997; Stegwee & Rukanova, 2003; Visser et al., 2003; Hofstede et al., 2010

Inter-Organizational Workflow Primitives XPDL Business Process Meta Model (2005 & 2008)

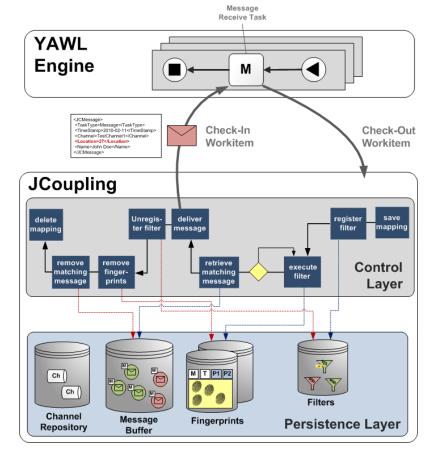


Intra-Organizational Workflow Middleware

- Decoupling middleware 3 *communication* dims (Aldred, 2005-9)
- JCoupling tool for workflow messaging (Kuhr, 2008 & 2012)



JCoupling Bridge Architecture



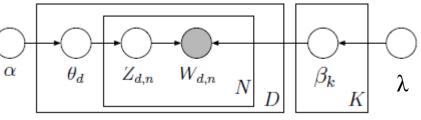
YAWL Message Handling

Foundational Work: Computational Comprehension

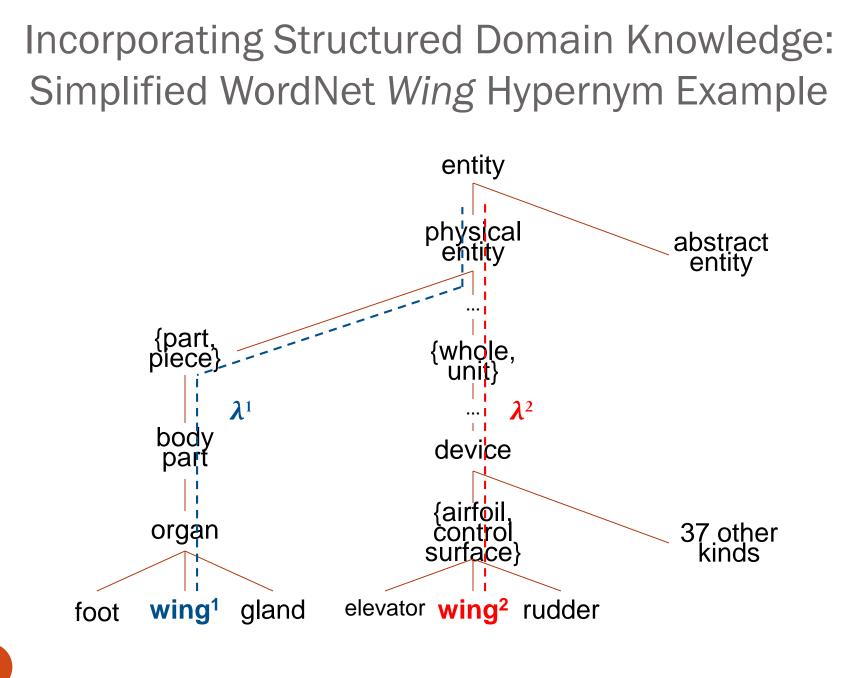
- Natural Language: Hard problem, long automated translation history repairing *language shortcuts* reduces parties' conception gap
 - Weaver, 1949; Bar-Hillel, 1960; Wilks et.al, 1975, 89, 90; Dailey, 1986; Hirst, 2002; Dodig-Crnkovic, 2005
- Word Sense Disambiguation (WSD)
 - Approaches vary; facets: sense distinction granularity; external knowledge source; context representation; classification method
 - WordNet
 - Tool: manually cataloged words (synonyms, other relations: ontologies)
 - Verbs' polysemy significantly greater than nouns (verbs, actions, tasks)
 - Semantic similarity distance measurements
 - Metrics
 - Coverage, Precision & Recall
 - Senseval/Semeval international competitions (tri-annual starting 1998)
 - Topic Model-based (next slide) avoids knowledge acquisition bottleneck
 - Navigli, 2009; Miller et.al, 1993; Resnik, 1999

Foundational Work: LDA Application to WSD

- Latent Dirichlet Allocation (LDA: Blei, Ng, Jordan, 2003)
- Finding Scientific Topics (Griffiths & Steyvers, 2004)
- Topic Model applied to WSD (LDA with WordNet: Boyd-Graber, Blei, Zhu, 2007)
- Incorporating generalized domain knowledge (Andrzejewski, 2009; Hu, Boyd-Graber, 2011)



- LDA Generative Model:
 - For each topic k of K: draw multinomial distribution β_k from Dirichlet distribution with parameter λ
 - For each document d of D: draw multinomial distribution θ_d from Dirichlet distribution with parameter α
 - For each word position *n* of *N* (in *d* of *D*): select hidden topic $Z_{d,n}$ from multinomial distribution with parameter θ_d
 - Choose observed word W_{d,n} from distribution β_{Zd,n} for that topic
 Used to mine business contracts for topics (Gao & Singh, 2014)
- LDAWN compares associated found topics words' senses, mapping traversed WordNet synsets hypernym paths λ speeding convergence



IOWF Semantic Mediator

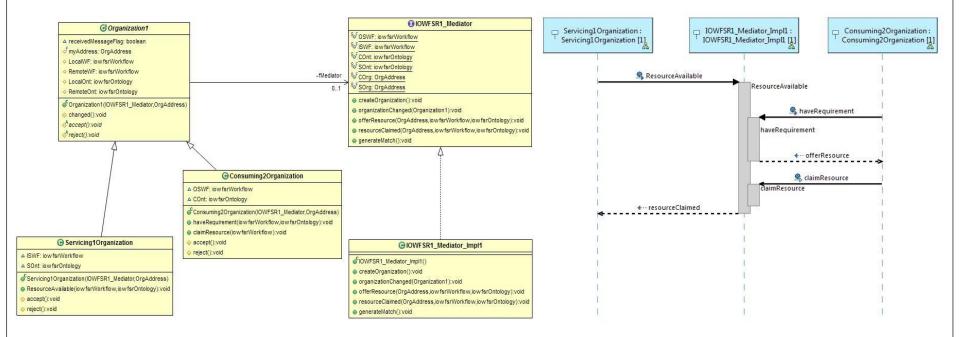
• Concept:

- Automated workflow systems (like humans) to make sense of received information must understand terminology in context
- Not of listener, but of speaker
- Polysemous language overloads individual words' meaning
- Implied unique meaning(s) within organization confound outsiders
 - *Port*: Computer Science Department vs. Nautical usage
- Mediator design pattern solution employs:
 - High level bridge encapsulating myriad workflow systems' requisites for choreography
 - Low level unstructured computational semantic resolution mechanism providing context

IOWF Semantic Mediator to Bridge Organizations' Workflows

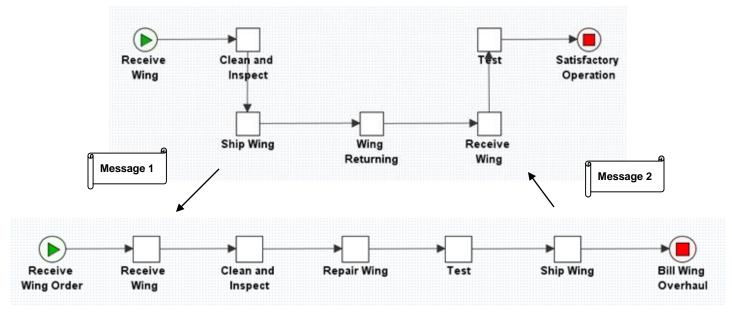
Architectural Perspective

Interaction Perspective



Simplified IOWF Example: Outsource & Insource Wing Repair

Consuming Organization (OS)



Servicing Organization (IS)

Creation of IOWF Semantic Resolution 'Documents'



Interlocking coordination of Work across varying internal vocabularies of meanings IOWFSR correlations validated by experience

IOWF Semantic Resolution Document Creation Example

Sky High Aircraft Airframe Best Maintenance Svc IOWFSR Document Components Sky High **ABC** Sequential Ontology Aircraft combination of Ontology component organizations' Wing repair ontologies & Wing overhaul **IS Workflow OS Workflow** workflows

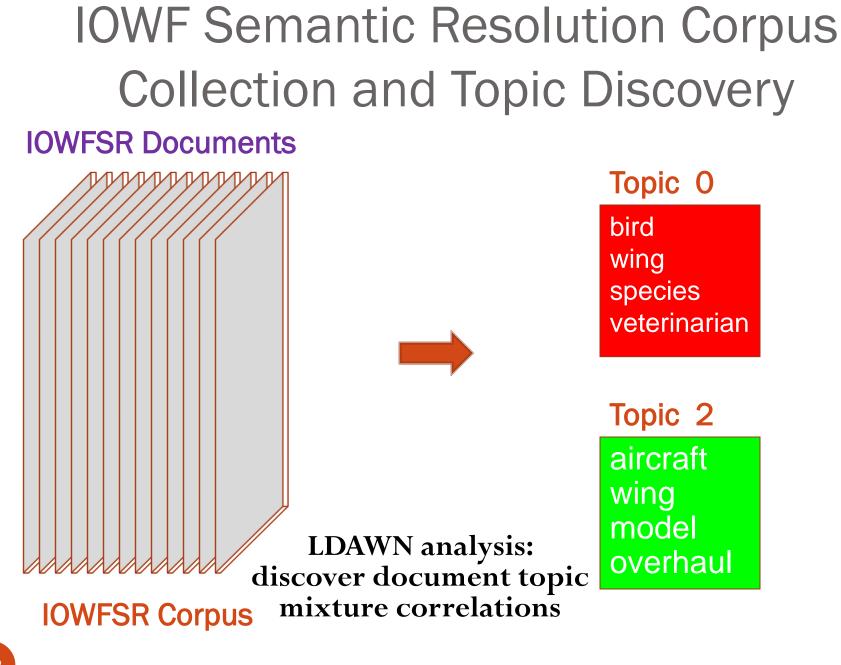
Interlocking coordination of Work across varying internal vocabularies of meanings IOWFSR correlations validated by experience

IOWF Semantic Resolution Document Creation Example

Sky High Aircraft Maintenance Svc aircraft repair modernization + wing overhaul transport Airframe Best Components repair supply aircraft wings + repair wing transport

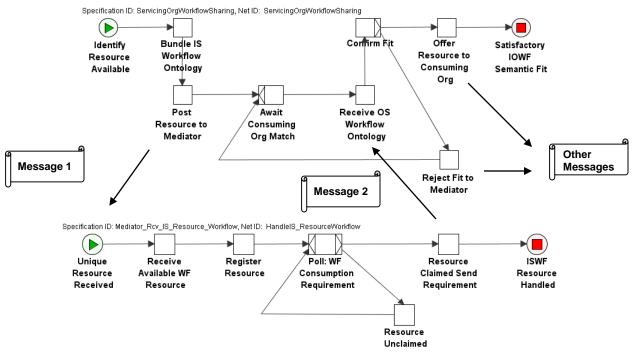
IOWFSR Document Vocabulary aircraft (2) repair (3) modernization wing (3) overhaul (1) transport (2) supply (1)

Interlocking coordination of Work across varying internal vocabularies of meanings IOWFSR correlations validated by experience



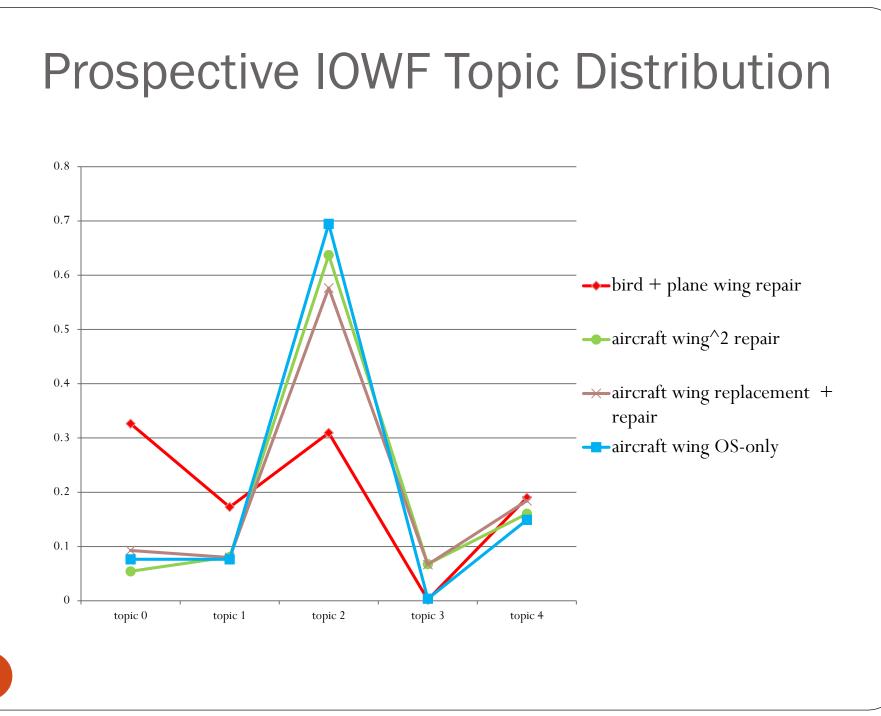
Resource Advertisement & Matching: Servicing Organization & Mediator Interaction

Servicing Organization

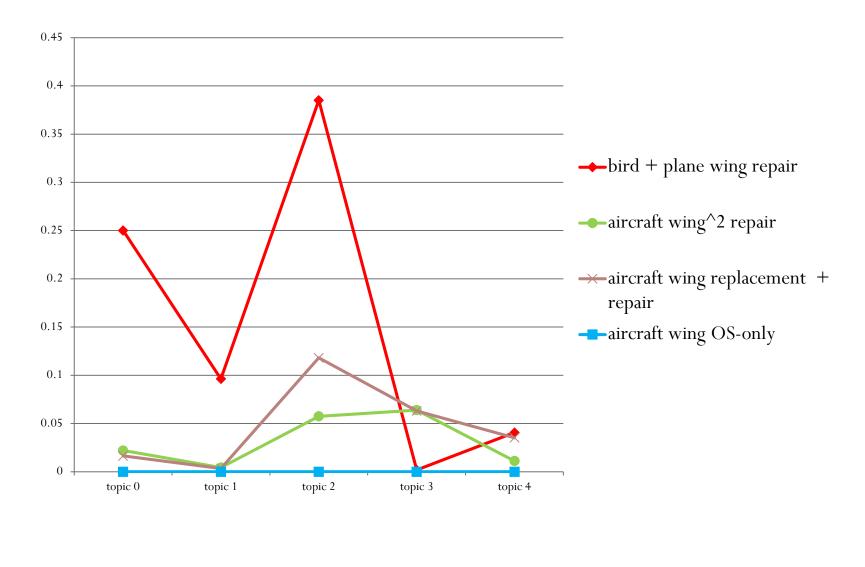


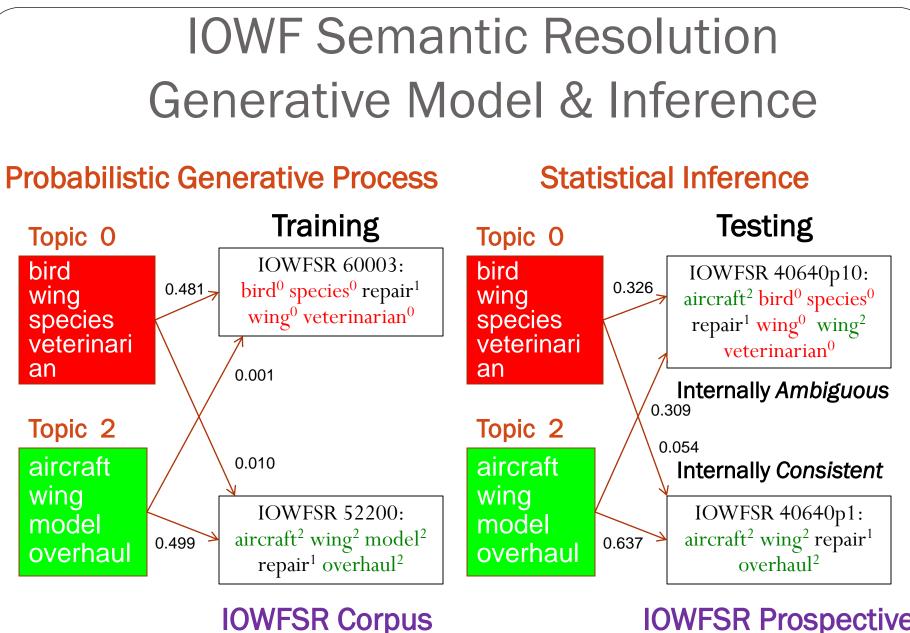
IOWFSR Mediator

- Requirement handling similar (Consuming Organization)
- Collection & processing of executed IOWFs similar



Magnitude of IOWF Topic Distribution Difference: Prospective — Requesting





Documents

IOWFSR Prospective Pairings

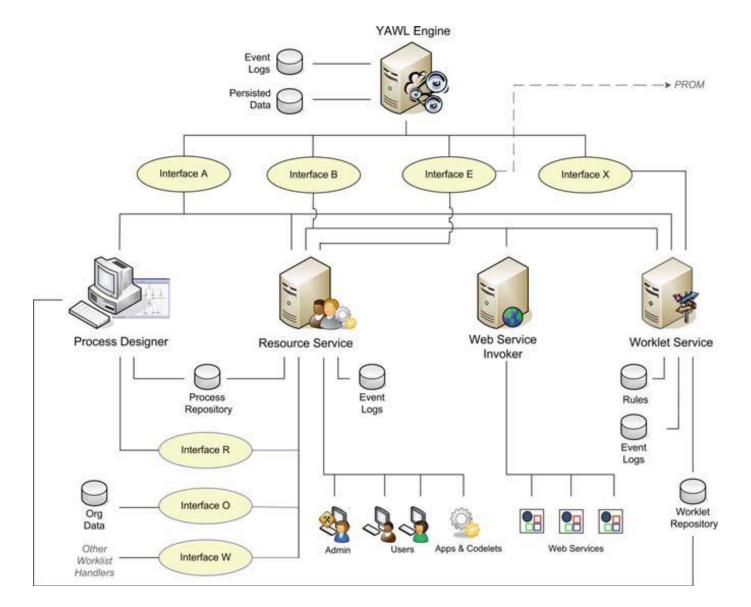
Conclusions

- Novel framework; exemplary model demonstrates process to automatically resolve semantic ambiguity between organizations' WFMS without a priori knowledge
 - Key to decoupling organizations & resources
 - Easing interoperability means sharing more work to accomplish tasks
 - Flexibility & compatibility of approach supports adaption/adoption
- Enhancing semantic clarity exposes more potential interorganizational workflow resource & consuming alternatives
 - Publishing alternatives publicly *lowers* service costs & *increases* markets
 - R&D partnering assistance
 - Prototype, One-off matching minimizes costs & maximizes markets
- Transfers semantic resolution
 - From time of execution
 - To planning stage (evaluation of alternatives)

Future Work

- Generalize, automate, test & apply framework to increasingly complex cases
- Formalize IOWFSR Model
- Apply to unlabeled message source identification
- Apply to outstanding Complex System communications issues (How can we apply to solve your engineering / management / medical / biological issue?)
- Dynamic IOWFSR Mechanism to allow modeling interaction of heterogeneous complex system components independently
- Extension of IOWFSR methods beyond natural language to new heterogeneous domains of encoded structural & dynamic knowledge
- How do nano-bot swarms communicate to accomplish a mission?
- Questions? Thanks!

Workflow Management System Example (YAWL)



Organizational Language Barriers to Communication

- Ontology (Workflow components)
 - Language of (given) Organization
 - Subset of Domain, Natural Language
- Languages evolve from particular community seeds
 - Terminals start from instances (proper names: Tailor)
- Obs: Task names may vary, even though predicates match
 - Generalization rules vary by environment, create unique grammars
 - True for clans, societies, organizations
 - Grammar commonalities, differences *emerge* as distinct groups interact
- Industrial orders: "regional ontologies which attempt to define what life, labor, and language are in their own beings" (Foucault, *The Order of Things*, 1973)
- "Languages are the measure of mankind's ideas" (Turgot, 1750) in Language as the Key to the Epistemological Labyrinth (Lifschitz, 2004)
- Generated bottom-up; interpreted top-down
 - Direct mappings between ontologies of organizations: *intractable computing jungle*!

Why Natural Language Workflows?

Reasonability

- WFMS primarily model organizations of humans automating their work processes
- Workflow tasks primarily defined using NL
- Importance
 - Machine readable dictionaries (MRD) help resolve WF corpus elements (semantic primitives) meaning
 - Linguistic Theory and NL development *slightly* predate advent of Computer Science
- Extensibility
 - Handle multiple domains, built from given NL
 - Concept extensible to variety of languages, providing dictionary available (or may be generated)

WSD (Topic Model & MRD) vs. Meta-Ontology Mapping

- Simpler Façade!
 - "Bag of Terms" analysis internally models latent structure vs.
 - Tagging POS & generating structured syntax meta-trees
- "Automatic" maintenance
 - As terms added, LDA topics migrate slowly over time, maturation
 - Meta-Ontology restructuring might result in incremental versions not resembling each other: revolutionary vs. evolutionary
- Research areas relative success in resolving problem
- Inclusiveness & predictability of semantic resolution
- WordNet empirically defined syn-sets enhance Topic Model's demonstrated natural clustering affinity

Distinguished from other IO work

- On-going organizational cooperation
 - Shared language evolution may already exist
- Web Services
 - Stateless; complete interface description available
- While many WS applications, limited IOWF investigation; except
 - Meta-model ontology IOWF interoperability approach outlined (Haller, et al. 2005)
 - Semantic ambiguity resolution expanded; actual solution alternatives left to future work (Höfferer, 2007)
- Community-shared standard ontologies IOWF exist
 - Not many; but nice (ex: Medical, ICD 9&10)
- Natural Language vs. Scientific or Grid Workflow
 - NL introduces more ambiguity; broadens application
- Agent-based WFMS
 - Principles don't require agents
- Custom-developed mapping
 - Hard-coded translations (look-up tables) presume prior knowledge of parties' languages involved; extensibility intractable

Organizational Diversity

- Maturity
 - Business Process definition degree of detail
 - Continuous Improvement
- Breadth (specialization) of entity/component
- Domain (community) participation
 - Number & degree of standardization
- Internal topology (hierarchical, P2P, matrix)
- Size matters (flexibility/rigidity of roles & tasks)
- Unique internal knowledge: own Ontology

Profuse Workflow Design Expression

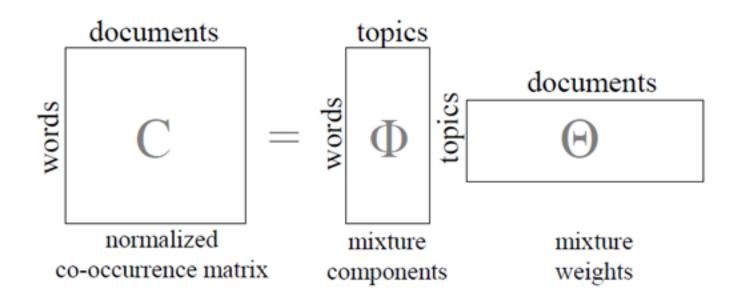
Standards & implementations vary for WFMS:

- Features, information requirements & outputs (heterogeneity excludes Adaptors)
- Detail (generality): derived from organization
- Environment (hardware/software: supported & required)
- Degree of Automation
- Workflow Interface Support (WFMC, later)
- Representation/extent of organization knowledge, structure

Lexical Relations

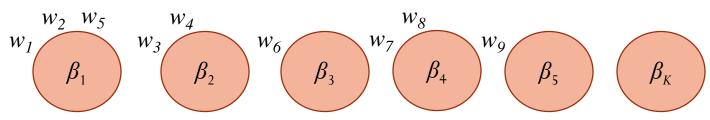
synset word senses expressing (approximately) same meaning gloss textual definition possibly with examples antonymy expresses opposite concept pertainymy adjective of or pertaining to noun (or another nominalization adjective) hypernymy noun nominalizes verb (flight, fly) hyponymy kind-of or is-a (superclass: wing, airfoil) troponymy is-a-kind-of (subclass: airplane, jet) meronymy is-a-kind-of, verb (fly, soar) holonymy part-of (airplane, wing) entailment is-a-part-of (wing, bird) similarity verb is entailed by verb (overhaul, repair) attribute adjective is similar to adjective (satisfactory, acceptable) noun an attribute that adjective expresses value (color, purple)

Co-occurrence Matrix, Topics, Documents & Words



Dirichlet Allocation Example

- Dirichlet: Joint distribution random variables over partitions
- Chinese Restaurant Process (CRP) provides example of clustering
 - N customers
 - *K* tables
 - Initial Generation Step: Customer w_1 sits at Table β_1
 - Successive steps (n = 2..N), Customer w_n sits at Table β_k :
 - Occupied Table β_k : probability of $\frac{|\rho_k|}{\alpha + W_n 1}$
 - Unoccupied Table $\beta_{k-max+1}$: probability of $\frac{\alpha}{W_n-1}$



- Representations:
 - Tables are topics
 - Customers are words
 - Restaurants are documents
- Effect of varying concentration, α: 10²...unity...10⁽⁻²⁾

Gibbs sampling: Markov chain Monte Carlo posterior inference approximation

- Even fixing *K* topics, computation of actual posterior *intractable*
- MCMC biased random walk for 2-dimension r.v. *below*
- Gibbs sampling (one type of MCMC) explores K-dimension distribution space of hidden variables
 - Iterates between
 - Each hidden variables' conditional distribution (given observations)
 - Current state of other hidden variables
 - *K* limits topic choice, solution dimension space
- α iteration size; when small (0.001 to 0.01)
 - Restricts # topics per document: *sparse*
 - Increases convergence time
 - Conversely, large α over-generalizes.
- Key insight: use *exchangeability*
 - CRP: Where does customer w_n sit?

Murray, MCMC in *ML Summer School*, Cambridge, 2009.

